



FINANCIAL DEALERS LICENSING ACT [CAP 70]

CERTIFICATE OF PRINCIPAL'S LICENSE Section 2(ab)

In exercise of the powers conferred by the Financial Dealers Licensing Act [CAP 70], I hereby grant to

HANTEC MARKETS (V) COMPANY LIMITED

Class of License

A, B, C

Company Number

40318

Date of Incorporation

29th of May 2017

Company Type

International Company Limited by Shares

A principal's license and is authorized to carry on the business of dealing in Class A, B and C securities.

This license is subject to the terms and conditions set out on the reverse hereof.

Given under my hand and seal at Port Vila on 21st of November 2022.

Branan KARAE Commissioner

ERVICES CON

CONDITIONS:

- 1. HANTEC MARKETS (V) COMPANY LIMITED (the "Company") shall only conduct its business activities permissible under this license class. Unless approved by the Commission, the Company is prohibited from dealing in any business activities listed under Class D License.
- 2. The Company is prohibited from providing trading activities in Domestic Markets.
- 3. The Company must operate from an office in Vanuatu and maintain the following systems:
 - a) A filing system;
 - b) A management and accounting system;
 - c) A business continuity system;
 - d) A trading platform.
- 4. The Company shall prepare and submit the audited financial statements after a period of 12 months.